

Review Article

## Innovative Strategies in Minimally Invasive Dentistry for Caries Management: A Literature Synthesis

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Received: 02 August 2021; Revised: 29 October 2021; Accepted: 03 November 2021

### ABSTRACT

Over the past several years, a deeper insight into caries pathology alongside innovations in dental biomaterials has sparked growing interest in conservative, non-invasive and minimally invasive alternatives that postpone or eliminate the necessity of conventional restorations. This review evaluates a selection of these modern interventions—such as fluoride varnish, silver diamine fluoride, resin sealants, resin infiltration, chemomechanical removal of decay, and atraumatic restorative treatment—analyzing their underlying chemistry, indications, clinical performance, determinants of success, and inherent constraints. Furthermore, it explores ongoing research aimed at amplifying the antimicrobial potential of these procedures to broaden their clinical utility.

**Keywords:** Minimally invasive dentistry, Caries therapy, Restorative approaches, Resin infiltration, Sealants, Fluoride

**How to Cite This Article:** Vega M, Fuentes H, Cordero V, Silva L, Cabrera R. Innovative Strategies in Minimally Invasive Dentistry for Caries Management: A Literature Synthesis. *Int J Dent Res Allied Sci.* 2021;1(2):66-87. <https://doi.org/10.51847/rXhXROt2yc>

### Introduction

Conventional restorative dentistry for carious lesions traditionally entails significant removal of tooth material to (1) eradicate bacteria driving decay, (2) reshape the cavity for mechanical retention and load resistance, and (3) excise demineralized dentin [1]. However, the evolution of adhesive and bioactive restorative agents that bond micromechanically to dental tissues has rendered such extensive removal largely unnecessary [1]. These materials effectively seal margins, cutting off the lesion from the oral milieu and supporting caries arrest even without full excavation [2–4]. Evidence now indicates that demineralized yet structurally sound dentin can undergo remineralization [5–7]. Consequently, clinicians increasingly practice selective caries removal to conserve remineralizable dentin, which studies suggest reduces pulp exposure risk [8] and

enhances treatment success by up to four times compared to conventional excavation [9]. Hence, with improved understanding of caries mechanisms and advanced materials, dentistry is shifting toward non-invasive and minimally invasive care—approaches emphasizing preservation of healthy tissue and breaking the repetitive restoration cycle, often termed the “restorative death spiral” [10].

Non-invasive strategies (e.g., plaque control and fluoride use) aim to reduce biofilm virulence and depend heavily on patient cooperation [11], while minimally invasive techniques (e.g., sealants and resin infiltration) establish protective barriers that require less patient adherence [12]. Their selection and outcomes depend on factors like caries risk profile, lifestyle, lesion size and depth, surface type, and dentition phase. When implemented appropriately, these options demonstrate comparable effectiveness to traditional restorations, showing similar longevity,

reduced chair time [13, 14], decreased patient anxiety [15, 16], and long-term cost benefits [14, 17, 18]. Thus, such conservative measures should be prioritized, with invasive approaches reserved for cases where conservative control proves inadequate. The cumulative success of these techniques underscores the need for continued refinement and innovation in this domain.

## Materials and Methods

Relevant publications were collected from the PubMed database using a combination of the following terms: “minimally invasive dentistry”, “fluoride varnish”, “resin sealants”, “resin infiltration”, “chemomechanical caries removal”, “atraumatic restorative treatment”, “caries arrest”, and “caries management”. Inclusion criteria comprised systematic reviews, meta-analyses, literature reviews, randomized controlled trials, and studies examining the enhancement of antimicrobial features within minimally invasive caries control methods, all published in English within the past decade.

### *Chemical control of caries with fluoride varnish*

Fluoride varnishes (FV) are considered safe [19], non-staining, well tolerated by children [20], and simple for dental practitioners to apply [21]. These varnishes have long been used worldwide to prevent dental caries; however, recent investigations indicate a decline in their effectiveness. This diminished effect may stem from modern children’s greater exposure to fluoride through various sources such as water and toothpaste, reducing the additional benefit of FV [22].

A meta-analysis conducted recently found that after a 2–3-year period, there was no statistically significant difference in the rate of occlusal caries on first permanent molars between FV and sealant groups [23]. This aligns with Chestnutt *et al.*, who observed slightly higher yet statistically insignificant protection from FV compared to sealants (caries incidence 17.5% vs. 19.6%) after 3 years, though FV proved more cost-efficient [24]. Regarding non-cavitated proximal lesions, pooled data reveal insufficient evidence supporting FV alone for decay arrest. However, combining 5% sodium fluoride with resin infiltration yielded better outcomes than resin infiltration alone [25], suggesting FV may serve effectively as an adjunct measure. Numerous trials have demonstrated that Silver Diamine Fluoride (SDF) performs significantly better than FV in halting caries progression [26], leading the ADA’s latest evidence-based guidelines to strongly endorse SDF over FV for managing cavitated carious lesions in primary dentition [27]. Earlier

assumptions suggested that FV could reduce caries-related hospital admissions among preschoolers, yet a newer meta-analysis classified its benefits as “modest and uncertain” [22], indicating it likely does not lower hospitalization rates meaningfully.

### *Silver diamine fluoride (SDF)*

Silver Diamine Fluoride is a liquid medication used to arrest caries progression and has been employed for decades in nations such as Japan, China, Argentina, and Brazil [28–31]. In the United States, it was authorized in 2014 for dentin desensitization in adults, and its off-label use for caries management—especially in pediatric dentistry—has since expanded rapidly [32]. Applying 38% SDF twice yearly achieves an 84.8% caries arrest success rate [33], and the American Academy of Pediatric Dentistry (AAPD) supports its application for treating cavitated lesions in primary teeth [34]. It is inexpensive [35], can be used without removing softened dentin [36], and serves as an ideal solution for patients with severe dental anxiety, behavioral challenges, medical complications, or those requiring general anesthesia [16].

SDF consists of silver and fluoride ions stabilized in an ammonia solution. The 38% formulation contains roughly 44,800 ppm of fluoride ions. Although its mechanism is not fully elucidated, it is recognized for inhibiting demineralization, encouraging remineralization, and protecting the dentin’s organic framework [37]. Studies demonstrate that it increases enamel mineral content and dentin microhardness [38, 39]. When applied, it precipitates a protective silver chloride layer that curtails mineral loss by reducing calcium and phosphate release. It also facilitates the formation of calcium fluoride, which gradually dissolves in saliva to release fluoride ions. The alkaline pH enhances ion exchange and encourages the transformation of hydroxyapatite into acid-resistant fluorapatite [40]. Furthermore, SDF suppresses matrix metalloproteinases and cysteine cathepsins, limiting collagen breakdown [41–43]. Its alkalinity promotes mineral deposition within exposed collagen networks in demineralized dentin, potentially leading to both inter- and intra-fibrillar remineralization and resulting in greater microhardness [44]. Additionally, SDF exhibits strong antimicrobial activity and effectively reduces cariogenic biofilm accumulation [45, 46].

### *Caries prevention and arresting efficiency of SDF*

Chibinski *et al.*’s meta-analysis on SDF use in primary teeth found that, over 12 months, SDF achieved a caries arrest rate 66% higher than other active treatments (ART and FV) and 154% higher than placebo or no treatment, making it approximately 89% more

effective overall in halting carious lesions [47]. Subgroup analyses revealed that SDF works better on anterior teeth than on posterior teeth [48–52]. The baseline level of oral hygiene significantly impacts outcomes; larger cavities and the presence of visible plaque reduce the likelihood of arrest, particularly with once-yearly applications [51, 52]. Increasing SDF application frequency to twice per year has been shown to enhance its caries-arresting capacity among children with poor oral hygiene [49].

#### *Most clinical evaluations of SDF effectiveness in primary teeth*

Most available studies on SDF performance in primary dentition have focused on school-aged cohorts. Mabangkhu *et al.* investigated how SDF compared with 5% sodium fluoride varnish (NaF) for halting lesions associated with severe early childhood caries (SECC) in children aged 1–3 years. They reported that SDF doubled the likelihood of caries arrest compared to NaF. Lesions in front teeth showed a higher tendency to become inactive than those in molars, and occlusal lesions were less responsive than buccal or lingual ones. Dietary factors had a marked effect: children who no longer consumed milk and snacked fewer than three times daily demonstrated the highest arrest rates. The researchers concluded that SDF is an efficient tool for managing SECC [53].

Besides stopping decay, SDF also helps prevent new lesions from forming. Treating four primary teeth was linked to the prevention of one new cavity [54]. A meta-analysis found that, over at least 24 months, the prevented fraction (PF) of SDF reached 77%, while a comparable Cochrane review reported 37% for fluoride varnish. Annual SDF application outperformed quarterly fluoride varnish in reducing new lesions in primary teeth (PF = 54% after 24 months) [55]. Although these findings favor SDF, interpretation must be cautious. Preventive trials typically record decay across all teeth, whereas certain SDF studies only measured selected areas—Llodra *et al.* examined posterior teeth exclusively, while Chu *et al.* assessed anterior surfaces. Such differences hinder direct comparison [56]. In a randomized study comparing SDF with glass ionomer cement (GIC), SDF achieved significantly higher success in primary dentition after 12 months [57]. Nonetheless, longer follow-up studies are necessary for stronger conclusions.

Few investigations have explored SDF in permanent teeth. Llodra *et al.* found 77% of active lesions became arrested in both primary and permanent molars [33]. Braga *et al.* compared SDF, routine toothbrushing, and GIC on early carious lesions and observed that SDF was initially superior within 6 months, though the three

methods performed similarly over 30 months [58]. Rosenblatt *et al.* reported that SDF prevented more than 60% of caries in permanent teeth [59]. Conversely, Liu *et al.* observed that sealants, semiannual fluoride varnish, and annual SDF had equivalent preventive efficacy after 24 months [60], while Monse *et al.* recorded better outcomes with GIC sealants than with a single SDF application after 18 months for dentinal decay [61]. At present, solid evidence regarding SDF's preventive and arresting capacity for permanent dentition remains limited [56]. SDF has also been utilized to manage root caries in older adults. Across 24–36 months, the PF values ranged from 24% to 71%. Regarding lesion progression, PF was 725% higher than placebo after 24 months and 100% higher after 30 months [62].

A retrospective analysis of 12-month survival rates among patients at a public clinic revealed that SDF alone showed a 76% survival rate, SDF with sedative fillings 50%, and SDF with same-day restorations 84%. The sedative-filling group failed 2.5 times more often than SDF alone, likely due to the temporary material used. In primary teeth, SDF alone achieved the best outcomes in canines (83–86%) and the lowest in mandibular molars (71%). In permanent teeth, anterior survival rates (50–70%) were lower than posterior ones (75–82%). Overall, survival rates for primary (74%) and permanent (78%) teeth were similar. Among those younger than 6 or older than 41 years, success rates (69% and 68–72%) were lower than in the 6–40-year range (77–84%). When analyzed by caries risk, survival reached 81%, 76%, and 75% for low-, moderate-, and high-risk patients, respectively. Despite the limitations of using insurance claim data—which lacked diagnostic details like lesion type or reason for SDF use—these findings suggest that SDF effectively halts decay progression [63].

#### *Limitations of SDF*

Given its high success rate and increasing demand among pediatric patients, SDF has been swiftly integrated into dental training programs at both undergraduate and postgraduate levels. The ADA Code Commission has also established code D1354, enabling reimbursement for SDF as a temporary caries-arresting treatment [26].

A 2016 national survey of U.S. pediatric dental program directors revealed that 89.2% supported using SDF for patients at high caries risk, while only 9.5% opposed its use on primary teeth [26]. Because of its simplicity, SDF is especially beneficial for children who are uncooperative, patients needing behavioral management, and those with limited dental access [26]. However, a major drawback is the permanent black

discoloration of treated enamel and dentin, which raises parental concerns. This aesthetic issue was cited as the most significant barrier by 91.8% of dental educators and 56% of hygienists [26, 64].

Research on parental acceptance shows highly variable attitudes across regions. Cultural expectations and aesthetic values about children's primary teeth influence perceptions [65, 66]. Chu *et al.* reported that nearly all parents were satisfied post-treatment, with only 7% objecting to the color change, whereas Alshammari *et al.* observed near-total parental refusal (with just 3.2% neutral responses) [36, 67]. Access to cosmetic treatment options in private or hospital settings was identified as the main reason behind this resistance [67].

Cultural variations in aesthetic preferences are not the only factors shaping parental attitudes toward SDF. Acceptance also depends on which teeth are treated, the parent's educational background, financial situation, and whether the child requires advanced behavioral management [65]. While many caregivers tolerated the dark discoloration associated with SDF because of its simplicity and the child's comfort during treatment [68, 69], far fewer agreed to its use on front teeth (27%–36%) than on back teeth (54%–69%) due to cosmetic concerns [65, 66, 70]. Families with limited income and public dental insurance demonstrated higher acceptance rates than those with private coverage, likely because they had fewer treatment alternatives [65, 71].

Among parents of toddlers or children who could not cooperate well and might otherwise need sedation or general anesthesia (GA), acceptance rose notably from 54%–62% to 70%–82%. These parents were more inclined to choose SDF when it could prevent pain, infection, or complex behavioral procedures [65, 70, 72, 73]. Given the potential cognitive and developmental risks tied to repeated or extended GA exposure in early childhood, many parents preferred less invasive options that might eliminate or postpone the need for anesthesia [66]. Parents with higher educational levels also tended to approve SDF when presented as an alternative to sedation or GA, possibly because they were more aware of the medical implications of anesthetic exposure [65, 66].

This pattern—higher acceptance among low-income or high-risk populations—could lead to an ethical imbalance: children from disadvantaged backgrounds may receive less aesthetic treatment outcomes, while wealthier groups, with better access to cosmetic restorations, avoid visible staining [26].

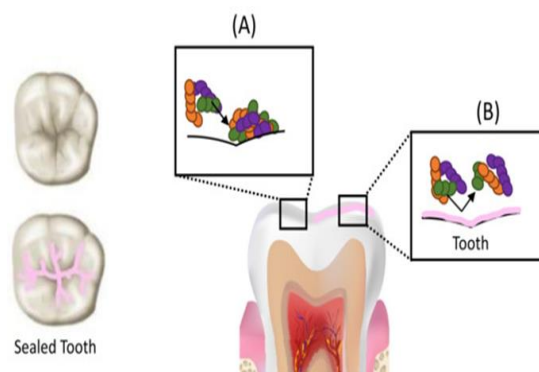
Although controlled research on SDF's effectiveness in permanent teeth remains scarce, the American Dental Association (ADA) has issued a conditional guideline

supporting its use as a nonrestorative approach for permanent dentition, based on indirect data from studies on primary teeth [27]. Nonetheless, 28.3% of U.S. pediatric dentistry program directors in a 2016 survey expressed opposition, noting that SDF is relatively new and insufficiently studied for permanent teeth [26]. Parents also tended to be less accepting of SDF use in permanent dentition than in primary teeth [66, 70]. Because baby teeth eventually shed, parents were more willing to tolerate discoloration in exchange for avoiding invasive treatments such as GA or sedation. However, when it came to permanent teeth, even parents with limited dental care access were reluctant to accept visibly stained results [70, 73].

Research by Hu *et al.* further revealed that parents of children with autism spectrum disorder had similar esthetic expectations to those of parents of neurotypical children, and both groups were unlikely to select SDF as a substitute for GA [66]. Therefore, SDF continues to face limited parental acceptance for managing decay in older children with permanent dentition.

#### *Resin-based fissure sealants*

Resin sealants made from methacrylate derivatives have been in use since the 1960s as a preventive measure against dental caries. These coatings serve as a mechanical barrier that separates tooth enamel from bacterial biofilm (**Figure 1**), demonstrating superior effectiveness in preventing decay in sealed molars compared with unsealed ones [74].

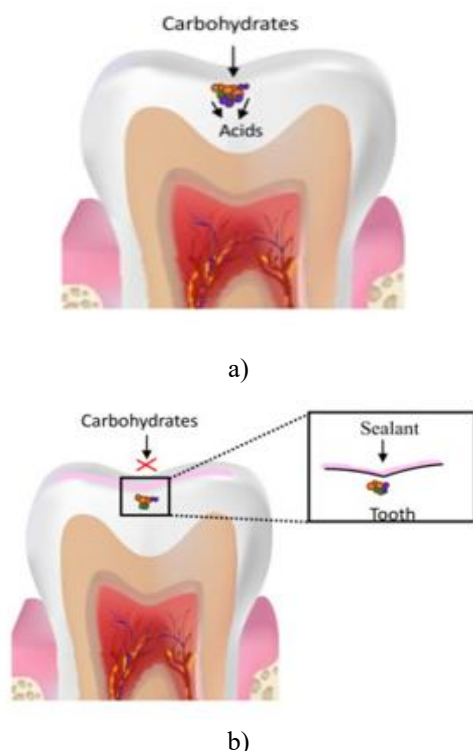


**Figure 1.** Illustration of how sealants prevent caries. (a) Deep grooves and pits on the chewing surfaces of posterior teeth trap bacteria and debris, promoting decay. (b) Applying a sealant smooths these surfaces, reduces bacterial retention, and creates a protective layer that lowers caries risk.

Clinical studies have shown that sealants can reduce the likelihood of new carious lesions by 87% after 12 months and by 60% after 48–54 months [75]. Over a 10-year period, only 5.7% of sealed first molars developed decay or required restoration [76]. The key

factor influencing long-term success is how well the sealant adheres over time [74, 75]. According to a meta-analysis, the retention rates for light-cured sealants were 68.4%, 83.1%, and 57.8% after 2, 3, and 5 years, respectively [77]. Loss of sealant, either partial or total, allows bacterial invasion, creating new decay-prone areas; hence, reapplication is necessary whenever loss is detected [78]. These results suggest that as sealants wear off, their caries-preventive benefit declines, highlighting the importance of routine dental monitoring following application.

In light of modern dentistry's shift toward minimally invasive care, extending the use of sealants to teeth with early-stage caries has been recommended to stop lesion progression [79, 80]. This concept is not new—studies from the 1970s already showed that trapping bacteria under restorations drastically reduced their numbers [81]. Sealed lesions exhibited at least a 100-fold reduction in *Streptococcus mutans* and lactobacilli [82, 83]. By forming an airtight seal, the material isolates the decayed site from its nutrient source, leading to fewer viable bacteria and a less aggressive, less diverse biofilm [2, 4, 83]. This biological shift weakens microbial activity, ultimately halting or slowing the advance of caries [74, 84].



**Figure 2.** Diagram showing how sealants stop caries progression.

When the sealant blocks nutrient flow to the bacteria inside a lesion, their number and virulence decrease,

producing a less active biofilm and slowing or preventing further tooth decay.

#### *Clinical trials on sealing occlusal carious lesions*

Long-term investigations lasting between 24 and 44 months on sealing carious occlusal surfaces—ranging from non-cavitated to cavitated lesions extending from enamel into the middle third of dentin—have consistently indicated that sealing can effectively halt caries progression, provided proper tooth isolation is maintained during the procedure [85–87]. However, the precise depth limit at which a lesion becomes too extensive to benefit from sealing remains uncertain [84].

The primary issue with sealing deep dentinal decay is that, while the sealant may block external bacterial contamination, it cannot penetrate fully into the lesion to suppress internal bacterial activity. In contrast to the saccharolytic bacteria commonly associated with enamel decay—which depend on sugars from the oral cavity—the microbes inhabiting deep dentin are largely proteolytic, feeding on the organic components of dentin itself [88, 89]. Consequently, even when isolated from external nutrients, these bacteria may stay active and allow the lesion to advance further. Additionally, once caries infiltrates the dentin, the enamel above becomes structurally weakened, and the mechanical limits of the sealant prevent it from adequately protecting this undermined enamel from fracturing under chewing forces [84].

A meta-analysis comparing caries progression between sealed and unsealed lesions (both cavitated and non-cavitated) found a substantial difference: only 5% of sealed sites progressed compared to 16.1% of unsealed ones. Overall, sealing reduced caries advancement by about 70%, performing better than both fluoride varnish and no treatment approaches [90].

In a 10-year clinical study, bonded composite restorations that were placed directly over cavitated dentin lesions—without removing the decayed tissue—demonstrated higher longevity and superior performance than unsealed amalgam fillings where decay was fully excavated first [91]. Another investigation observed similar rates of caries control between sealed lesions and conventionally restored teeth over 2–3 years. In that study, 10% of sealed sites continued to progress, while 88% were fully arrested; none of the composite restorations showed decay, and 14% of the sealants required repair or replacement [85]. Thus, while sealing can substantially slow or stop lesion activity and postpone the need for invasive treatment, sealant loss or reactivation of once-arrested lesions may occur, underscoring the importance of continuous monitoring of treated sites.

*Limitations of sealant therapy*

Despite strong evidence supporting sealants for controlling pit and fissure caries, their routine use remains debated. Concerns include poor retention, incomplete penetration into fissures, and concealed lesion progression under partially sealed surfaces [92]. Furthermore, polymerization shrinkage can create marginal gaps, promoting plaque accumulation and microleakage [93, 94].

Adhesion of sealants to carious enamel or dentin is often compromised due to demineralization, which limits the material's ability to bond effectively [95]. Such fissures are difficult to clean thoroughly and are ideal environments for biofilm persistence. Residual biofilm and incomplete cleaning interfere with sealant adaptation, preventing full penetration and leaving unfilled voids that enable bacterial regrowth [96]. Inadequate adaptation results in higher microleakage rates and partial filling, both of which can facilitate undetected lesion progression [93]. Additionally, surface wear, matrix degradation, and filler detachment over time can lead to sealant loss, re-exposing teeth to caries risk [97].

*Development of antimicrobial sealants*

Resin-based sealants face several shortcomings:

1. Microleakage from polymerization shrinkage [98];
2. Increased biofilm accumulation compared with other restorative materials [99];
3. Incomplete filling of deep or carious fissures leading to poor edge sealing [96].

Each of these issues contributes to secondary caries formation. Since clinicians sometimes intentionally or inadvertently apply sealants over early lesions, integrating antibacterial compounds has been explored as a way to strengthen both preventive and arresting effects [98].

Two strategies have been investigated to enhance antibacterial properties—releasing systems and contact-active agents. Fluoride-releasing sealants were designed because fluoride can reduce microbial metabolism, inhibit demineralization, encourage fluorapatite formation, and enhance remineralization [100]. However, current data provide no conclusive proof that fluoride-enhanced sealants outperform standard types in preventing caries [101]. Studies have shown that the frequency of new carious lesions in teeth treated with fluoridated sealants is comparable to

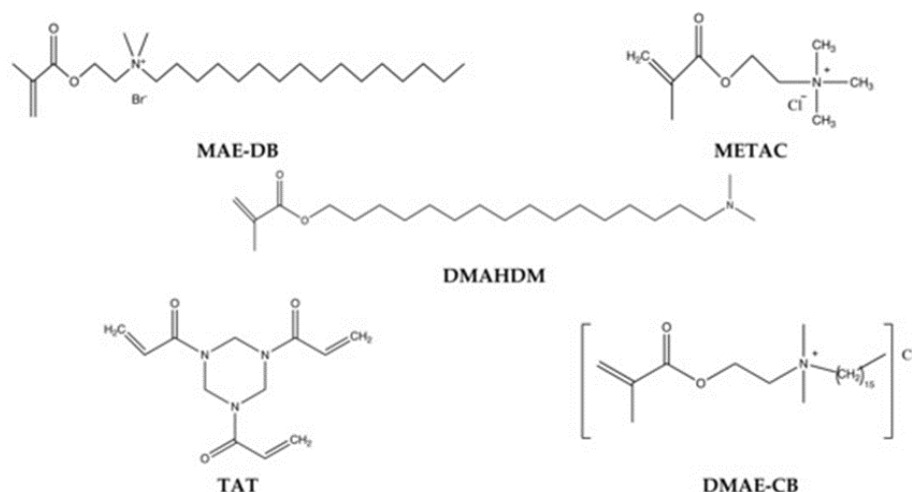
those treated with non-fluoridated variants [102, 103], and that their retention rates are either similar [104] or even lower [105, 106].

Another challenge is the decline of fluoride release over time—initial bursts are followed by a rapid decrease, which lessens antimicrobial effects [100, 107]. Dissolution of soluble fluoride salts also tends to weaken mechanical strength, making these sealants less durable [107].

Sealants incorporating chlorhexidine (CHX) have been introduced as an alternative; however, as with fluoride systems, their structural properties degrade over time. The release of CHX increases porosity, leading to staining, reduced wear resistance, and enhanced biofilm retention [108]. In a 6-month *in vitro* study, Shafiei *et al.* observed that CHX application actually increased microleakage, raising the risk of secondary decay under sealed areas [109].

Contact-killing mechanisms using quaternary ammonium compounds (QACs) have recently drawn significant attention because they can be polymerized directly into the resin matrix without altering the sealant's mechanical integrity or physicochemical characteristics [110]. A number of QACs such as 2-methacryloxyethyl dodecyl methyl ammonium bromide (MAE-DB) [111], 2-methacryloxyethyl trimethylammonium chloride (METAC) [112], methacryloxyethyl cetyl dimethyl ammonium chloride (DMAE-CB) [98], dimethylamino-hexadecyl methacrylate (DMAHDM) [113, 114], and 1,3,5-triacryloyl hexahydro-1,3,5-triazine (TAT) [115] have been added to resin formulations to boost their antimicrobial performance (**Figure 3**).

*In vitro* assessments of sealants modified with QACs have demonstrated promising antibacterial effects and revealed that incorporating these compounds does not compromise essential parameters such as the degree of conversion, penetration capability, ultimate tensile strength, or micro-shear bond strength [110]. Furthermore, as QACs are covalently bonded within the polymer structure, they are not expected to leach out, which suggests that their antimicrobial activity should persist over time. Nevertheless, it is known that organic debris—such as dead cell matter and salivary protein films—can diminish QAC activity [108]. Over time, resin degradation in the oral cavity might also lead to QAC release, raising potential cytotoxicity issues. Consequently, long-term evaluations of QAC-containing sealants are warranted to determine their stability and safety.

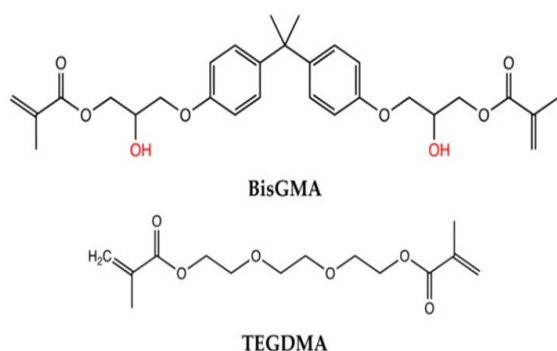


**Figure 3.** Chemical structures of Quaternary Ammonium Compounds (QACs): 2-methacryloxyethyl dodecyl methyl ammonium bromide (MAE-DB), 2-methacryloyloxyethyl trimethylammonium chloride (METAC), dimethylamino-hexadecyl methacrylate (DMAHDM), 1,3,5-triacryloyl hexahydro-1,3,5-triazine (TAT), and methacryloxyethyl cetyl dimethyl ammonium chloride (DMAE-CB).

*Resin infiltration for caries management*

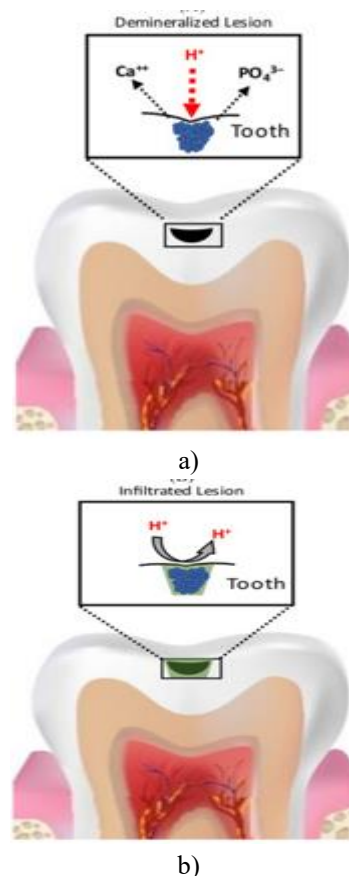
*Evaluation of its use for non-cavitated and cavitated proximal lesions*

Resin infiltration (RI) was conceived as a minimally invasive approach to close the gap between passive observation (“wait and watch”) and conventional restorative (“drill and fill”) techniques for interproximal carious lesions [116]. RIs are low-viscosity resins primarily composed of triethylene glycol dimethacrylate (TEGDMA) that possess excellent penetration into carious enamel and a high degree of conversion (DC) [117] (**Figure 4**).



**Figure 4.** Chemical structures of resin monomers: Bisphenol A-glycidyl methacrylate (BisGMA) and Triethylene glycol dimethacrylate (TEGDMA).

After polymerization, RIs infiltrate demineralized enamel and seal intercrystalline microporosities, generating a polymer scaffold that micromechanically stabilizes the enamel prisms and creates a diffusion barrier against hydrogen ions, thus halting further demineralization and caries progression [117–120] (**Figure 5**).



**Figure 5.** Schematic showing the caries-arresting mechanism of resin infiltration.  
 (a) Acid-induced enamel demineralization leads to the formation of porosities.  
 (b) Resin infiltrants penetrate and polymerize within these spaces, forming a solid matrix that blocks ion movement and prevents lesion advancement.

The ability of RIs to prevent lesion progression is governed by their penetration coefficient (PC)—the rate at which a liquid moves through porous material. PC is directly proportional to surface tension and inversely proportional to viscosity and contact angle [121]. While enamel infiltration primarily depends on viscosity, dentinal infiltration may be influenced by both viscosity and hydrophilicity. However, research exploring how hydrophilicity affects resin penetration into dentin remains limited. Efforts to enhance methacrylate resin infiltration have focused on modifying monomer composition and adding solvents.

Compositions rich in TEGDMA—due to their low viscosity—demonstrate superior penetration and enhanced inhibition of caries progression compared with formulations containing high levels of BisGMA [121]. Adding alcohol can reduce viscosity, but it also promotes microgel formation at polymerization sites, limiting free radical mobility and polymer conversion [122, 123]. Reduced polymerization weakens mechanical strength and lessens caries-arresting capability, therefore solvent incorporation is generally discouraged [124].

Meta-analyses evaluating RI's performance in non-cavitated proximal lesions indicate high effectiveness in permanent dentition, particularly when lesions are limited to the enamel and outer third of dentin [125–130]. Over three years, caries progression in infiltrated lesions ranged between 4–14%, compared with 42–48% in control groups [131, 132]. In a seven-year follow-up, Paris *et al.* reported progression rates of 9% for treated lesions versus 45% for controls [133]. Subgroup analysis further revealed that RI was effective in halting enamel lesions, while no significant advantage was observed for dentinal lesions, likely due to differences in tissue structure and disease mechanisms [128].

For primary teeth, earlier meta-analyses found results inconclusive due to variations in methodology and clinical settings [125–127]. However, a 2021 meta-analysis by Chen *et al.* suggested that, despite the need for more research, resin infiltration shows promising outcomes in controlling caries progression over 12–24 months [130]. Across studies, the therapeutic success rate of RI ranged from 21% [134, 135] to 38% [136]. The proven success of resin infiltrants (RIs) in halting non-cavitated proximal carious lesions has prompted researchers to investigate their potential application in cavitated lesions as well. For resin infiltration to be effective in such cases, the RI must be capable of both penetrating the demineralized enamel and filling the cavitated space of the lesion. Investigations evaluating

RI performance in deep and micro-cavitated proximal caries (International Caries Detection and Assessment System — ICDAS 3, 4, 5) demonstrated that although the materials can adequately permeate porous enamel at all lesion depths, they are ineffective in fully filling the open cavities in more advanced lesions [137].

This incomplete cavity filling has been linked to three major mechanisms:

1. Porous demineralized enamel exerts strong capillary attraction, allowing RIs to infiltrate easily, while larger cavities exhibit weak capillary forces, reducing resin draw-in;
2. During the cleaning process before polymerization, part of the infiltrant may be washed away from the cavity; and
3. Air entrapment within the lesion generates surface tension, hindering resin penetration.

Consequently, a thin, uneven resin layer forms in deeper lesions (ICDAS 4 & 5) compared with shallower ICDAS 2 & 3 lesions. Since the thickness of the resin layer directly correlates with its caries-arresting ability, the thinner layers in deeper cavities are less effective at preventing demineralization. Moreover, incomplete filling encourages biofilm accumulation, further diminishing RI efficacy in these deeper areas [137].

To enhance the caries-arresting capacity of RIs in deep cavitated lesions, two improvements are proposed:

1. Enhancing cavity-filling potential without compromising penetration ability [138, 139]; and
2. Incorporating antimicrobial functionality to eradicate residual bacteria within partially filled spaces and deter reinfection.

#### *Micro-filled infiltrant resins (MFIR)*

Conventional RIs suffer from limited mechanical durability, including low strength, pronounced polymerization shrinkage, and poor wear resistance, mainly due to their low viscosity and insufficient filling capacity. To overcome these drawbacks, micro-filled infiltrant resins (MFIRs) have been engineered by adding inorganic or organic fillers to RIs. The inclusion of fillers has been shown to increase flexural strength, modulus of elasticity, and reduce shrinkage and water absorption [140, 141]. Ideally, an MFIR should retain the infiltration characteristics of traditional RIs while exhibiting filling behavior comparable to flowable composites.

#### *Influence of fillers on RI properties*

When fillers are introduced into resin systems, their performance depends on factors such as filler particle size, shape, concentration, and the interaction between

the filler and resin matrix [142]. At low filler concentrations, the particles interact minimally, but as their number increases, particles become densely packed, resulting in stronger inter-particle interactions and higher viscosity [142].

Particle size also plays a crucial role — smaller fillers have larger surface areas, increasing matrix-filler interactions and therefore viscosity. In contrast, larger particles tend to yield heterogeneous mixtures with poor enamel wetting, leading to compromised penetration ability [139, 143].

*Determinants of RI mobility in micro-filled infiltrant resins (MFIR)*

When MFIR is applied to a carious lesion, two opposing forces influence resin movement:

1. Capillary forces, which draw the resin component into the porous demineralized structure, and
2. Interfacial forces between filler particles and the resin matrix, which resist resin flow.

As the filler surface area increases (inversely proportional to particle size), more resin is required to coat each particle, leaving less free resin available for lesion infiltration [138, 139, 143].

Empirical research assessing MFIRs containing pre-polymerized methacrylate-based fillers (42 µm) in deep lesions (ICDAS 3 & 5) reported no significant difference in penetration depth between RIs and MFIRs. However, MFIRs demonstrated superior filling efficiency (100% in both groups) compared with traditional RIs (25% for ICDAS 3 and 38% for ICDAS 5). MFIRs maintained infiltration comparable to RIs while matching the filling characteristics of flowable composites.

This effect occurs because, during MFIR application, the resin phase infiltrates the porous lesion while the filler particles remain embedded within the surface layer of unpolymerized monomer, which behaves similarly to flowable composite material and fills the cavity [138, 139]. These outcomes indicate that MFIRs represent a promising development for controlling caries progression in deep and cavitated proximal lesions.

*Resin infiltrants (RIs) for arresting occlusal carious lesions*

Efforts to broaden the clinical use of RIs have led to their evaluation in managing occlusal carious lesions. Nonetheless, because of their limited mechanical strength, RIs alone are not considered adequate for treating occlusal caries [139, 144, 145]. Laboratory research suggests that the best outcomes occur when micro-filled infiltrant resins (MFIRs) or RIs are

combined with traditional sealants or flowable composites, which are applied on top of the infiltrated surfaces [139, 145].

Such combinations have demonstrated multiple advantages compared to conventional sealants alone:

1. The diffusion barrier shifts from the enamel's outer surface into the lesion's body, meaning that even if the sealant fails or partially detaches, the infiltrated structure remains sealed, helping to halt lesion advancement.
2. Because RIs possess higher penetration coefficients and employ stronger surface conditioning (15% hydrochloric acid), their ability to infiltrate carious fissures surpasses that of standard sealants [139, 146]. (However, the use of HCl must be carefully controlled in the mouth to prevent soft-tissue injury.)
3. Applying RIs beneath flowable composites has shown to significantly reduce microleakage compared to sealants alone [147].

Hence, the RI–sealant combination may address major limitations of conventional occlusal sealing—such as retention problems, shallow penetration, and microleakage—and represents a minimally invasive treatment option for early fissure caries. Furthermore, incorporating antimicrobial components into RIs may aid in eradicating residual bacteria within deeper cavities and further strengthen their caries-arresting effect.

Clinical evaluations have indicated that dual sealing and infiltration, alongside fluoride varnish, provides substantially greater success in halting carious progression than fluoride varnish alone in primary teeth over 2–3 years [148]. Anauate-Netto *et al.* observed that in permanent teeth, both infiltration and sealing of non-cavitated occlusal lesions were similarly effective across a 3-year period [149]. Conversely, Elkwahty *et al.* reported that in sound and non-cavitated permanent molars, sealing alone—or sealing combined with infiltration—was more beneficial than using RI by itself [150]. These discrepancies likely stem from differences in lesion depth among studies: the first included mainly deeper lesions (ICDAS 2), while the latter examined sound or incipient lesions (ICDAS 0–2). It appears that RIs offer limited benefit for ICDAS 0–1 fissures, whereas deeper lesions (ICDAS 2) show better infiltration and higher caries-resistance compared with sealants alone.

*Limitations of current resin infiltrants*

Although RIs provide a conservative and effective therapy, their performance is restricted by certain technical and biological limitations.

*Incomplete resin penetration or infiltration*

Even though RIs can infiltrate carious tissue efficiently, deep enamel lesions often exhibit uneven or partial resin penetration, leaving unfilled regions [119, 151]. Studies reveal that only around 60% of enamel pore volume becomes sealed in advanced lesions [119, 152], and the resulting microhardness does not match that of healthy enamel [153]. This reduced hardness renders the treated sites more vulnerable to future demineralization [153, 154].

When comparing lesions of different depths, RIs were completely effective (100%) in halting lesions confined to inner enamel, but efficacy dropped to 64% for those reaching outer dentin [131]. In cases where caries extended into dentin, no significant difference in lesion progression was observed between RI-treated and control teeth [128]. The penetration ratio of resin in carious dentin (82%) is lower than in carious enamel (99.1%), which explains the reduced effectiveness of RIs in dentinal tissues [128].

This difference arises from the distinct composition and structure of enamel versus dentin. Enamel, composed mostly of hydroxyapatite, undergoes demineralization by bacterial acids, which enlarge its pores. When RIs are applied, they occlude these pores, creating a diffusion barrier that blocks nutrient flow to bacteria, isolates acids, and helps retain minerals—thus encouraging remineralization once bacteria are deprived of resources [92, 155–157]. This infiltrated layer enhances resistance to demineralization and improves surface hardness, thereby preventing further caries progression [153, 158].

Conversely, dentin contains more water and organic material (≈40%) and features tubular channels that accelerate bacterial invasion. Hence, dentin caries develops faster and involves not only acid-mediated demineralization but also enzymatic degradation of collagen and other organics [88, 89]. These by-products may reduce wettability, and fluid movement through demineralized dentinal tubules can hinder complete drying, making thorough RI infiltration difficult [159]. Finally, while the resin barrier may physically isolate dentinal lesions from external bacteria, its effect on internal bacterial activity remains uncertain and requires further investigation [128].

*Surface roughness*

Demineralization beneath the enamel surface leads to porosity between enamel prisms, contributing to increased surface roughness. Resin infiltration cannot fully return the lesion's surface texture to that of intact enamel and cannot be polished effectively [160, 161]. Several investigations have reported that the surface

roughness of treated enamel remains greater than that of sound enamel, potentially encouraging microbial biofilm buildup [153, 162–164]. When the surface texture of areas treated with adhesives and RIs was analyzed, adhesives showed a smoother, more uniform layer than RIs, attributed to their shallow penetration depth [165]. In an *in vitro* comparison of fluoride varnish, nano-hydroxyapatite paste, and resin infiltration regarding *S. mutans* attachment to artificial enamel defects, resin infiltration resulted in the highest bacterial adhesion among the three groups [166].

*Polymerization shrinkage and microleakage*

Because RIs mainly contain the low-molecular weight monomer TEGDMA, they are prone to substantial polymerization contraction and stress formation during curing. These properties cause microleakage, which can compromise their ability to arrest caries and make the restoration more vulnerable to secondary lesions [138].

*Cytotoxicity of leached monomers*

It is well known that unreacted monomers continue to leach from restorative surfaces both during and after photopolymerization [167]. Samuelson *et al.* found that 24-hour exposure to even very low TEGDMA levels (0.5 mM) induced cell death [168]. Similarly, Batarseh *et al.* observed that exposing human pulp fibroblasts to 0.25 mM TEGDMA markedly elevated pro-apoptotic proteins such as Cytochrome c, Caspase 3, and Bim within 24 hours [169]. Although significant TEGDMA release from RIs occurs toward the oral cavity, this leaching happens only during the initial minutes, making 24-hour exposure unlikely [170]. Currently, resin infiltration is restricted to lesions within enamel and the outer third of dentin, reducing the chance of pulp-side diffusion. However, application to deeper lesions (ICDAS 5) may allow inward monomer migration, posing potential pulpal risks. Subtoxic concentrations of TEGDMA (0.3 mM) have been found to suppress mineralization-related gene expression by 5–20% after 4 hours and up to 50% after 12 hours. Consequently, TEGDMA could hinder pulp mineralization and impede reparative dentin formation [171]. Thus, careful use is advised in deeper dentinal restorations.

*Degradation of methacrylate resins*

Beyond the diffusion of residual monomers, methacrylate-based resins are vulnerable to water absorption, leading to monomer hydrolysis and ester bond cleavage [172]. In an *in vitro* study, Arslan *et al.* evaluated RI, dental adhesives, and fissure sealants subjected to 10,000 thermocycling rounds

(approximately one year of aging). Results showed that RIs exhibited greater water sorption than the other materials [173], likely due to their higher TEGDMA content, potentially reducing long-term stability in the oral cavity. Moreover, thermal stress can induce microcracks in infiltrated lesions [174], which then serve as entry points for salivary and bacterial enzymes that accelerate TEGDMA degradation [175, 176]. The breakdown product of BisGMA (a typical adhesive monomer) is bisHPPP, while that of TEGDMA (a major RI component) is triethylene glycol (TEG) [177]. BisHPPP slightly suppresses *S. mutans* proliferation yet heightens its virulence by upregulating genes for attachment and acid resistance [178]. Conversely, TEG promotes *S. mutans* growth and pathogenicity [179, 180] and enhances esterase production [181]. These enzymes further deteriorate resin surfaces [175, 176], roughening them and encouraging more bacterial buildup [182]. This forms a self-perpetuating cycle of biofilm growth and resin breakdown, potentially leading to recurrent caries.

To counteract these effects and enhance the surface properties of resin-infiltrated enamel, Rai *et al.* applied chlorhexidine (CHX) varnish over RI-treated lesions. Over a 9-month period, combined RI+CHX treatment showed superior caries inhibition for deeper lesions (ICDAS 3) compared with RI alone, whereas no advantage was observed in ICDAS 2 lesions [183]. Meyer-Lueckel *et al.* linked shallow resin penetration with more homogeneous surface layers [132], possibly explaining CHX's benefit only in deeper lesions requiring greater infiltration depth. Further long-term studies are needed to evaluate effects on degradation and leakage. Nevertheless, findings suggest that adding antimicrobial functionality can enhance the performance of RIs in deeper carious sites. Incorporating antimicrobial agents directly into RI formulations could help eradicate bacteria within lesions and raise local antibacterial concentration, limiting colonization on adjacent tooth surfaces. However, such protective effects depend on antimicrobial release kinetics and their diffusion or dilution by saliva.

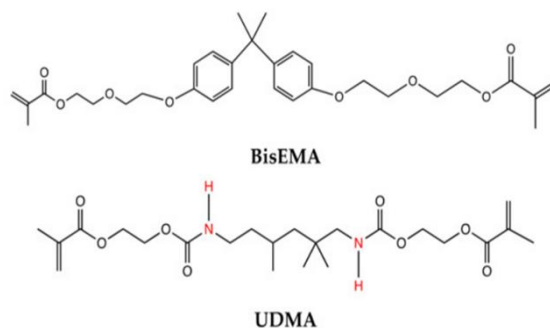
#### *Enhancing the antimicrobial and anti-degradative performance of resin infiltrants (RI)*

Considering the tendency of partially infiltrated advanced lesions to undergo renewed cariogenic attack, the limited capacity of RI to halt dentinal caries, the higher surface roughness of treated enamel, shrinkage-induced microleakage during polymerization, and the gradual degradation of TEGDMA, improving the antibacterial and anti-

degradative characteristics of these materials could be an effective strategy. Such improvements may strengthen their ability to arrest caries in deeper regions and extend their service life by minimizing material breakdown within the oral cavity.

Commercially marketed RIs, such as Icon®, primarily utilize TEGDMA as the base monomer. This component is hydrophilic and prone to water uptake and dissolution in saliva, resulting in hydrolytic deterioration that weakens the resin's mechanical integrity and long-term clinical stability [172]. Since the polymer's performance is largely governed by monomer chemistry, several studies have explored modifying RI formulations using alternative monomer mixtures to enhance their strength and antibacterial capability [123, 184–187].

Inagaki *et al.* investigated experimental RIs created by adding hydrophobic monomers—bisphenol A ethoxylate dimethacrylate (BisEMA) and urethane dimethacrylate (UDMA)—to TEGDMA, incorporating different concentrations of chlorhexidine (CHX 0.1% and 0.2%). The resulting materials were compared with Icon® for mechanical, degradative, and antibacterial properties [184, 185] (**Figure 6**). Degree of conversion (DC) and microhardness (Knoop hardness number, KHN) were measured to evaluate mechanical strength. Incorporating BisEMA and UDMA raised both DC and KHN relative to Icon®, with TEGDMA/UDMA mixtures showing the greatest KHN values. Additionally, CHX addition had no measurable effect on DC or hardness at either concentration [185]. Water sorption in TEGDMA/BisEMA systems was comparable to Icon®, while TEGDMA/UDMA formulations absorbed more water than Icon® [184]. All resin blends demonstrated lower solubility than Icon® [184], and the penetration uniformity (70–100%) was similar to that of Icon® (100%) [123].

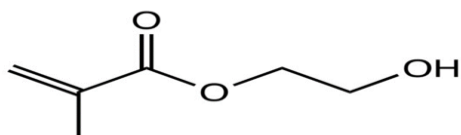


**Figure 6.** Chemical structures of Bisphenol A ethoxylate dimethacrylate (BisEMA) and Urethane dimethacrylate (UDMA).

Overall, these findings indicate that introducing BisEMA and UDMA to TEGDMA can enhance certain mechanical features without impairing penetration, suggesting that further chemical alterations may help optimize the mechanical stability and biocompatibility of RIs.

In the same studies, CHX supplementation significantly increased the immediate antibacterial effect of all resin variants against *S. mutans*, whereas Icon® showed none. However, the release pattern and duration of CHX diffusion were not analyzed. Earlier research indicates that releasing systems usually cause a brief burst release [187], while prolonged antimicrobial activity requires slow, sustained discharge. Consequently, long-term trials are needed to confirm the durability of these effects. Even so, the immediate antimicrobial effect of RI application could still help eliminate or deactivate cariogenic microbes trapped beneath the resin. Yet, continued leaching of antimicrobial agents could generate porosities over time, compromising the resin's structural strength [108].

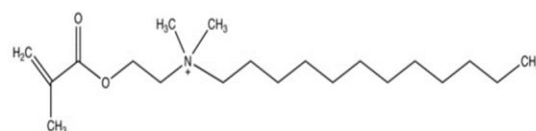
In addition to releasing mechanisms, “contact-active” antimicrobial strategies have been evaluated. Marchi *et al.* worked on improving the mechanical and antibacterial behavior of a TEGDMA/BisEMA-based RI (diluted with HEMA) through incorporation of iodonium salt and chitosan [186] (Figure 7). Onium salts were selected to enhance polymer properties since these ionic, water-soluble compounds promote polymerization of hydrophilic monomers, increasing C=C bond conversion. This results in a denser, cross-linked polymer matrix that resists water sorption and expansion, leading to superior resistance to hydrolytic degradation—even in hydrophilic monomer systems such as TEGDMA [188]. Chitosan, a natural polysaccharide, was introduced for its antibacterial action and ability to suppress demineralization [189]. However, in this experiment, ionic salt addition did not improve DC, likely due to the rapid polymerization rate [186]. A low DC can weaken the long-term mechanical performance of the RI, warranting further investigation.



**Figure 7.** Chemical structure of Hydroxyethyl methacrylate (HEMA).

Yu *et al.* incorporated dimethylaminododecyl methacrylate (DMADDM), a quaternary ammonium

monomer (QAM), into RI formulations by copolymerizing it, forming covalent bonds that immobilize the compound within the resin [190] (Figure 8). QAMs are known for bactericidal activity and reduced bacterial adhesion, and because they are fixed within the polymer matrix, their antimicrobial potential should not diminish over time. Nevertheless, their action depends on direct contact with bacterial cell surfaces, and their effect on microorganisms embedded in mature biofilms remains uncertain [187]. Moreover, QAMs tend to exhibit bacteriostatic rather than bactericidal strength, generally weaker than releasing agents. Their surface activity also decreases once an organic pellicle from salivary proteins coats the resin [108]. In this work, QAM incorporation preserved but did not enhance the resin's mechanical characteristics, leaving it prone to water absorption and degradation. Since the QAM is covalently bonded to the polymer backbone, ongoing resin degradation may cause its eventual release into the mouth, potentially reducing antibacterial activity over time and posing cytotoxicity concerns.



**DMADDM**

**Figure 8.** Molecular configuration of the quaternary ammonium compound Dimethylaminododecyl methacrylate (DMADDM),  $n = 11$ .

Researchers have experimented with incorporating filler components to enhance both mechanical durability and antibacterial efficiency of RIs [159, 191]. Metallic nanoparticles (NPs) are particularly valued for their innate antimicrobial traits [191, 192]. Studies have verified that Zinc Oxide (ZnO) [193] and silver (Ag) [194, 195] NPs inhibit *Streptococcus mutans* and *Lactobacillus*. Consequently, ZnO and AgNPs have been integrated into RIs to strengthen their antimicrobial capacity. Kielbassa *et al.* explored how adding fillers influenced infiltration performance, using AgNP-modified RIs. A tunnel method enabled conservative cavity preparation, followed by internal restoration with flowable composite and RI, while the surface was treated with either RI or modified RI. Their findings revealed that lesions were only partially infiltrated in all cases, and AgNP addition did not alter penetration ability [159]. Similarly, Angel Villegas *et al.* observed that ZnO NPs in RIs allowed zinc to diffuse up to 1020  $\mu\text{m}$  below the surface, a penetration

absent when phosphate buffer was used as a vehicle [191]. These findings suggest that fillers can augment antimicrobial effects of RIs without reducing infiltration performance.

#### *Chemomechanical management of caries*

Chemomechanical caries removal involves sodium hypochlorite-based Carisolv and papain-derived products like Papacarie and Brix 3000, which selectively remove infected dentin in both deciduous and permanent teeth [196, 197]. Healthy dentin contains alpha-1-antitrypsin that prevents collagen degradation; infected dentin lacks this inhibitor, allowing proteolytic enzymes in these agents to digest denatured collagen and facilitate selective cleaning [198]. A systematic review comparing Papacarie to conventional excavation in primary teeth confirmed Papacarie's effectiveness in carious tissue removal and reduced discomfort, though the process was slower [199]. An *in vitro* comparison of Papacarie, Brix 3000, and standard excavation also supported these results: all methods removed infected dentin effectively, but conventional excavation was faster (54 s) compared to Papacarie (110.5 s) and Brix 3000 (85 s) [198], albeit with higher pain reports.

#### *Atraumatic restorative treatment (ART)*

Atraumatic Restorative Treatment was designed as a resource-friendly method for managing caries in regions with limited dental care access [200]. It entails removing decayed tissue manually—without anesthesia—and restoring cavities with fluoride-releasing materials like glass ionomer cement (GIC) or resin-modified GIC (RMGI) [201]. Fluoride from GIC helps form acid-resistant fluorapatite, decreasing future decay risk [202, 203]. GIC also acts as a rechargeable fluoride source through topical uptake [204, 205]. More recently, the addition of silver diamine fluoride (SDF) has been proposed to further strengthen ART outcomes [206]. The primary drawbacks of ART include marginal imperfections, wear susceptibility, and restoration loss [207, 208].

Meta-analyses report that, for primary posterior teeth, ART survival after two years reached 94.3% ( $\pm 1.5$ ) for single-surface and 65.4% ( $\pm 3.9$ ) for multi-surface restorations. For permanent molars, survival rates were 87.1% ( $\pm 3.2$ ) and 77% ( $\pm 9.0$ ) for single and multiple surfaces over 3- and 5-year periods, respectively [209]. In Early Childhood Caries (ECC) management, ART's non-invasive nature eliminates the need for general anesthesia, enabling early intervention. Silva *et al.* reported ART success rates of 94%, 87.5%, and 82.9% over 1-, 2-, and 4-year follow-ups in children aged 18–36 months. Faccin *et al.* noted a 72% success rate over

25–48 months in preschoolers (mean age 31 months) [210]. These outcomes affirm ART as a reliable, child-friendly treatment with strong long-term effectiveness. Efforts to boost ART's antibacterial action include adding chlorhexidine (CHX) to GIC to reduce residual microorganisms post-excitation. Laboratory findings showed significant declines in *S. mutans* and *Lactobacillus* counts over three months when CHX was incorporated [211]. However, clinical data indicated that while antibacterial performance improved, CHX led to more marginal defects and restoration failures at 9 months [212]. Continued investigation is necessary to optimize ART's antimicrobial benefits without compromising strength.

#### **Conclusion**

A range of minimally invasive caries control techniques can effectively stop or reverse early lesion progression. These interventions perform best for non-cavitated, incipient lesions. Once decay extends into dentin, the altered structure and bacterial penetration make current methods less effective, as deep lesions are harder to disinfect or remineralize. The persistence of microorganisms in inner dentin layers adds further challenges without surgical aid. Enhancing the antimicrobial properties of these approaches can therefore improve clinical results.

Over time, materials used in minimally invasive procedures degrade through hydrolytic and enzymatic reactions in the oral cavity, reducing strength and promoting bacterial leakage, biofilm formation, and recurrent decay—ultimately leading to restoration failure. Reinforcing the biostability of these materials may lengthen their service life and delay surgical needs. Future developments should prioritize improving both antimicrobial and anti-degradative characteristics to enhance durability and broaden the applications of these conservative treatment options.

**Acknowledgments:** None

**Conflict of Interest:** None

**Financial Support:** None

**Ethics Statement:** None

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